



**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
60832/1.1

In Re Application Of: Nelson H. Lin

Serial No.  
10/781,229

Filing Date  
February 18, 2004

Examiner

Group Art Unit

Title: **SELF-GENERATING AUTOMATIC CODE GENERATOR**

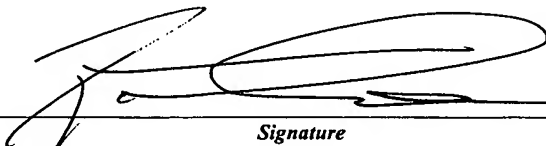
TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Information Disclosure Statement**  
**PTO-1449 with copies of cited foreign reference and cited article**  
**Postcard**

in the above identified application.

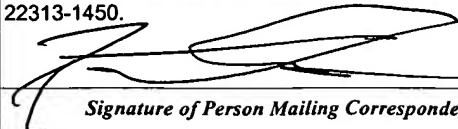
- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

  
Signature

Dated: March 25, 2004

**Kory D. Christensen**  
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I certify that this document and fee is being deposited on March 25, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

**Kory D. Christensen**

Typed or Printed Name of Person Mailing Correspondence

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

**Nelson H. Lin**

Confirmation No.

Application No. 10/781,229

Filed: February 18, 2004

For: **SELF-GENERATING AUTOMATIC  
CODE GENERATOR**

Group Art Unit:

Examiner:

Date: March 25, 2004

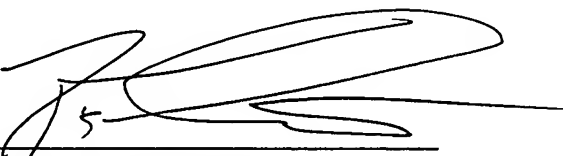
INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

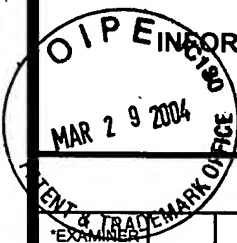
1. Pursuant to the duty of disclosure, documents listed on the accompanying Form PTO-1449 (or equivalent) are presented for the Examiner's consideration.
  - ☒ Copies of listed documents are enclosed. (37 CFR § 1.98(a))
  - ☒ Copies of listed U.S. patent documents are omitted because this application was filed after June 30, 2003 and is, thus, subject to image file wrapper processing. Copies of listed foreign patent documents and non-patent literature are enclosed.
  - ☐ Copies of the documents listed on sheet(s) \_\_\_\_\_ of Form PTO-1449 (or equivalent) are omitted because (1) they are already of record in U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, on which this application relies for an earlier filing date under 35 U.S.C. § 120; and (2) any information disclosure statement filed in the prosecution of Application No. \_\_\_\_\_, complies with 37 CFR §§ 1.98(a) through (c). (37 C.F.R. § 1.98(d))
2. ☐ The Examiner's attention is directed to the enclosed copy of copending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, for \_\_\_\_\_, which is cited in this application.
3. This information disclosure statement is being submitted (check box a., b., or c.):
  - a. ☒ Within three months of the filing date of a national application or entry of the national stage in an international application; or before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR 1.114. (No statement under 37 CFR 1.97(e) is required.); or

- b. ☐ After the period set forth in paragraph 3a, but before the mailing date of either a final action, a notice of allowance, or an action that otherwise closes prosecution in the application. (Check box i. or ii.)
- i. ☐ A \$180.00 information disclosure statement submission fee set forth in 37 CFR 1.17(p) is enclosed, or
- ii. ☐ A statement specified by 37 CFR 1.97(e) is set forth below; or
- c. ☐ After the mailing date of a final action or notice of allowance and on or before payment of the issue fee. A statement specified by 37 CFR 1.97(e) is set forth below. Enclosed is a \$180.00 information disclosure statement processing fee set forth in 37 CFR 1.17(p).
4. If a statement specified by 37 CFR 1.97(e) is required, the attorney or agent signing below hereby states that:
- ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.
5. ☐ A concise explanation of the relevance of each document not in the English language and/or selected documents in the English language is set forth below.

Respectfully submitted,

By   
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Attorney Docket No. 60832/1.1



INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY DOCKET NO. 60832/1.1	SERIAL NO. 10/781,229
	Nelson H. Lin	
	FILING February 18, 2004	GROUP

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	2003/0167455	09/04/03	Iborra et al.	717	105	06/01/01
	A2	2003/0167444	09/04/03	Zorc	715	513	02/28/02
	A3	2003/0149958	08/07/03	Baluja et al.	717	106	05/28/02
	A4	2003/0084423	05/01/03	Clegg et al.	717	105	10/26/01
	A5	2003/0046061	03/06/03	Preston et al.	704	9	07/01/02
	A6	2003/0026143	02/06/03	Brennan	365	105	08/05/02
	A7	2002/0199168	12/26/02	Namito	717	107	12/13/01
	A8	2002/0099530	07/25/02	Foltinek	703	22	11/19/01
	A9	2002/0026632	02/28/02	Fuchs et al.	717	137	07/27/01
	A10	2002/0010908	01/24/02	Cheng et al.	717	106	03/01/01
	A11	2001/0037496	11/01/01	Simonyi	717	146	12/05/00

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	WO 01/13303 A1	2/22/01	PCT	G06F	17/60		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	C1	"GeneXus Overview," ARTech, www.genexus.com, September, 2003, pgs. 1-17.	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION***(Use several sheets if necessary)*

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A12	6,651,240	11/18/03	Yamamoto et al.	717	108	12/28/99
	A13	6,631,519	10/07/03	Nicholson et al.	717	169	03/30/00
	A14	6,487,713	11/26/02	Cohen et al.	717	105	09/24/99
	A15	6,408,431	06/18/02	Heughebaert et al.	717	106	06/04/98
	A16	6,370,681	04/09/02	Dellarocas et al.	717	110	10/28/97
	A17	6,346,945	02/12/02	Mansurov et al.	345	473	12/28/98
	A18	6,257,774	07/10/01	Stack	717	110	09/28/98
	A19	6,246,404	06/12/01	Feigner et al.	345	708	03/18/99
	A20	6,219,831	04/17/01	Ono	717	136	08/12/93
	A21	6,199,195	03/06/01	Goodwin et al.	717	104	07/08/99
	A22	6,188,999	02/13/01	Moody	706	11	09/30/99

**FOREIGN PATENT DOCUMENTS**

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							YES	NO

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	A23	6,113,649	09/05/00	Govindaraj	717	2	03/27/96
	A24	6,011,917	01/04/00	Leymann et al.	395	702	03/06/97
	A25	5,978,581	11/02/99	Sadiq et al.	717	104	12/02/97
	A26	5,875,333	02/23/99	Fish et al.	717	109	06/07/96
	A27	5,699,310	12/16/97	Garloff et al.	717	108	01/26/94
	A28	5,612,866	03/18/97	Savanyo et al.	700	86	06/24/94
	A29	5,590,331	12/31/96	Lewis et al.	717	144	12/23/94
	A30	5,555,367	09/10/96	Premarlani et al.	707	4	09/30/94
	A31	5,499,371	03/12/96	Henninger et al.	717	108	03/22/95
	A32	5,295,222	03/15/94	Wadhwa et al.	395	1	05/18/92
	A33	5,280,617	01/18/94	Brender et al.	717	159	10/04/91

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							YES	NO

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	A34	5,187,788	02/16/93	Marmelstein	717	109	06/27/91
	A35	5,159,687	10/27/92	Richburg	717	106	12/27/89
	A36	4,796,179	01/03/89	Lehman et al.	700	86	08/20/86

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